

# Higher structures in quantum gauge theories

Joint work with A. Schenkel (Nottingham) & L. Woike (Hamburg)



Universität Hamburg

DER FORSCHUNG | DER LEHRE | DER BILDUNG

Gefördert durch



Deutsche  
Forschungsgemeinschaft

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Universität Hamburg  
Fachbereich Mathematik

Freiburg, 18.04.2019

# Plan

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- Higher information in gauge theories
- Using it!  $\rightsquigarrow$  BRST-BV
- Function algebras and homotopy algebraic QFT
- Homotopy AQFTs from AQFT-invariants

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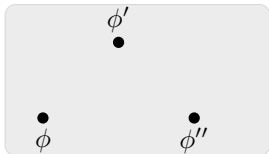
## **Disclaimer!**

The following **informal presentation** focuses on examples/ideas. For actual statements see arXiv:1709.08657 & 1805.08795 and for a review refer to arXiv:1903.02878.

# Higher structures in gauge theories

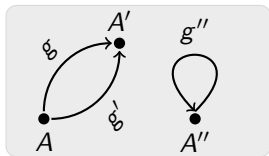
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## Ordinary fields



Information captured by  $\phi$ 's

## Gauge fields



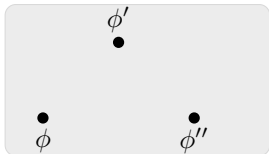
Two layers of information:

- (1) Gauge classes  $[A]$
- (2) For each  $A$ , the  $g$ 's preserving it  
*Higher information!* (groupoid)

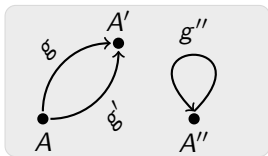
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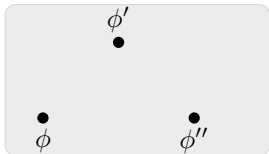
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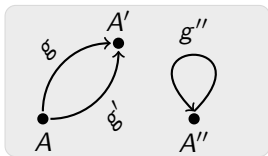
- Compare Yang-Mills with structure groups  $\mathbb{R}$  and  $U(1)$ :  
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On Minkowski (1) is the same for both, **but (2) is  $\mathbb{R}$  vs  $U(1)$ !**
- (2) plays a crucial role in the **BRST-BV** formalism...

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- Mechanical systems and ordinary fields ✓
- Analogue in gauge theory requires appropriate framework. . .

## Going on-shell in gauge theory: Set-up

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Consider the “action”  $S = \int_M dA \wedge *dA$  for the vector potential  $A$  on a spacetime  $M$ . Linearity  $\implies$  configurations as a chain complex:

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and differential  $dS : \mathcal{C} \rightarrow T^*\mathcal{C}$  of the action given by

$$dS : A \longmapsto (A, \delta dA), \quad g \longmapsto g$$

## Going on-shell in gauge theory: naive intersection

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We are trying to imitate the standard process for going on-shell, so the first attempt is to take the intersection of  $dS$  with 0:

$$dS \cap 0 = \left( \Omega_{\delta d}^1(M) \xleftarrow{d} \Omega_g^0(M) \right)$$

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How to achieve this?

General recipe from homological algebra  $\rightsquigarrow$  *derived functors*

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The **derived** intersection is obtained by “improving” the 0-section:

$$\begin{array}{ccc} \mathcal{C} & \xrightarrow{0} & T^*\mathcal{C} \\ & \searrow \sim & \nearrow \tilde{0} \\ & & \tilde{\mathcal{C}} \end{array}$$

$$\begin{array}{ccc} dS\tilde{\mathcal{C}} \rightarrow 0 & \longrightarrow & \mathcal{C} \\ \downarrow & & \downarrow dS \\ \tilde{\mathcal{C}} & \longrightarrow \tilde{0} & T^*\mathcal{C} \end{array}$$

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$$\begin{array}{ccccccc}
 \Omega^0(M) & \xleftarrow{\delta\pi_1 + \text{id}\pi_2} & \Omega^1(M) \times \Omega^0(M) & \xleftarrow{(\text{id}, -\delta)\pi_2} & \Omega^1(M) \times \Omega^1(M) & \xleftarrow{(d,0)} & \Omega^0(M) \\
 g^\ddagger & & A^\ddagger & \gamma & A & \alpha & g \\
 \downarrow & & \downarrow \pi_2 & & \downarrow \text{id} & & \downarrow \text{id} \\
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**Hence:** linear gauge theory  $(A, g, \dots) \rightsquigarrow$  chain complex  
 $\rightsquigarrow$  quasi-isomorphisms  $\rightsquigarrow$  derived intersection  $\rightsquigarrow$  BRST-BV

# Classical observables

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Classical observables :=  $\mathbb{C}$ -valued functions on configuration space:

$$\mathcal{C} \longmapsto \text{Map}(\mathcal{C}, \mathbb{C})$$

Commutative algebra!

(quantization  $\rightsquigarrow$  non-commutative)

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Typical situation in field theory:

$\mathcal{C} : M \mapsto \mathcal{C}_M$  *contravariant* assignment  
of configuration spaces to spacetimes

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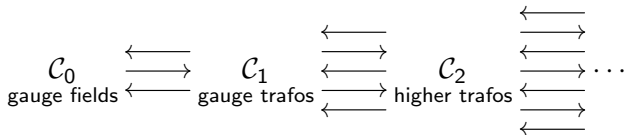
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*Let us try to imitate this construction with a gauge theory...*

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 \text{gauge fields} & \longleftarrow & \text{gauge trafos} & \longleftarrow & \text{higher trafos} & \longleftarrow & \\
 & & \longrightarrow & & \longrightarrow & & \longrightarrow \\
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 \end{array}$$

**Prototypical example:**  $G$ -bundles with connection on  $M \cong \mathbb{R}^m$

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 \Omega^1(M, \mathfrak{g}) & & & \Omega^1(M, \mathfrak{g}) \times C^\infty(M, G) & & \Omega^1(M, \mathfrak{g}) \times C^\infty(M, G)^{\times 2} & \dots \\
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*We need function algebras on simplicial sets...*



# Classical observables for gauge theories

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Homotopy theory provides function algebras on simplicial sets:

1. Take  $\mathbb{C}$ -valued functions on each level of the simplicial set  $\mathcal{C}$ :

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2. Form associated complex  $N^*(\mathcal{C})$  of **normalized cochains** on  $\mathcal{C}$ :

$$\text{Map}(\mathcal{C}_0, \mathbb{C}) \longrightarrow \text{Map}_N(\mathcal{C}_1, \mathbb{C}) \longrightarrow \text{Map}_N(\mathcal{C}_2, \mathbb{C}) \longrightarrow \dots$$

(normalization  $\leftrightarrow$  left-pointing arrows & differential  $\leftrightarrow$  right-pointing arrows)

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- Cochains form an  $E_\infty$ -algebra! **homotopy-coherently commutative**



## Remarks on normalized cochains $N^*(\mathcal{C})$

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- This cochain complex computes singular cohomology of a space
- Equivalent simplicial sets  $\xRightarrow{\checkmark}$  equivalent complexes *(derived!)*
- Smooth refinement?  $\checkmark$  *(but has to be derived!)*
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**Hence:** For a gauge theory  $\mathcal{C}$ , the assignment  $M \mapsto N^*(\mathcal{C}_M)$  is a covariant (not quite quantum) field theory with multiplications being **commutative up to coherent homotopies**

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$\rightsquigarrow$  First instance of **homotopy A(Q)FT**

# Algebraic quantum field theory (AQFT)

---

Broad spectrum of concepts with similar behavior:

- On Minkowski spacetime [Haag-Kastler, ...]
- On curved backgrounds [Brunetti-Fredenhagen-Verch, Hollands-Wald, ...]
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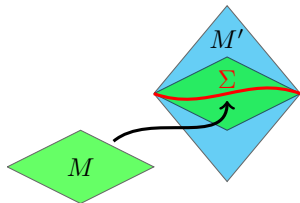
$$\underbrace{A}_{\text{functor}} : \underbrace{\text{Loc}}_{\text{spacetimes}} \longrightarrow \underbrace{\text{Alg}}_{\text{algebras}}$$

subject to additional **axioms**, such as **causality**, **time-slice**, ...  
reflecting physically motivated features.

## Time-slice axiom

---

$\{\text{Cauchy morphisms}\} \subseteq \text{Loc}$



$\mathcal{A}(f) : \mathcal{A}(M) \longrightarrow \mathcal{A}(M')$   
is an isomorphism

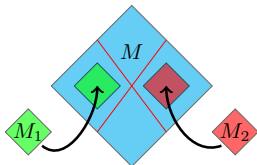
### **Dynamical law:**

Theory on a spacetime determined by algebra of observables on a neighborhood of a Cauchy surface.

# Causality

---

For **causally disjoint** spacetimes



$$[\mathcal{A}(f_1)-, \mathcal{A}(f_2)-] = 0$$

## **Nothing travels faster than light:**

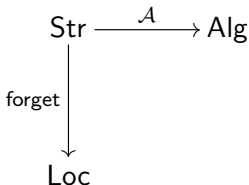
Spacelike separated observables act independently of each other since the algebras associated to causally disjoint regions commute in the ambient.

# Invariants of AQFTs: Idea

---

Starting point:

AQFT  $\mathcal{A} : \text{Str} \rightarrow \text{Alg}$  on spacetimes with additional background data



Examples:

- Dirac fields – spin structures
- Fields coupled to background gauge fields – bundles + connection
- Global gauge symmetries
- External sources

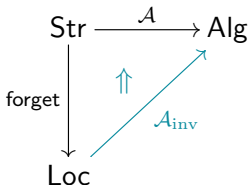


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Goal: Invariant QFT  $\mathcal{A}_{\text{inv}} : \text{Loc} \rightarrow \text{Alg}$  independent of structures

# Invariants of AQFTs: Construction and properties

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Computing invariants on a spacetime  $M$ :

$S \in \pi^{-1}(M)$  structures on  $M$

$h : S \rightarrow S'$  isomorphisms of such structures (symmetries)

$$\mathcal{A}_{\text{inv}}(M) := \left\{ a \in \prod_{S \in \pi^{-1}(M)} \mathcal{A}(S) : \mathcal{A}(h)a(S) = a(S') \quad \forall h : S \rightarrow S' \right\}$$

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- Isotony: ✗ (requires very restrictive hypotheses)

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$$\text{Map}(\{*\}, \mathbb{R}) \xrightarrow{0} \text{Map}_N(\mathbb{R}^2, \mathbb{R}) \xrightarrow{d_1} \text{Map}_N((\mathbb{R}^2)^2, \mathbb{R}) \xrightarrow{d_2} \dots$$

$x_0 \mapsto f(x_0) \qquad (x_0, x_1) \mapsto \omega(x_0, x_1)$

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$$d_1 f(x_0, x_1) = f(x_0) - f(x_0 + x_1) + f(x_1)$$

$$d_2 \omega(x_0, x_1, x_2) = \omega(x_0, x_1) - \omega(x_0 + x_1, x_2) + \omega(x_0, x_1 + x_2) - \omega(x_1, x_2)$$

$$\dots = \dots$$

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The non-trivial 2-cocycle  $\omega \in \ker d_2$ ,  $\omega(x_0, x_1) := x_0^1 x_1^2$ , defines the *Heisenberg group*  $\rightsquigarrow$  **Interesting info in higher cohomologies!**

# Homotopy invariants of AQFTs: Construction

---

If we “combine” the construction of invariants with the previous example, for each spacetime  $M$ , we get a simplicial set

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structures over  $M$ 
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If we “combine” the construction of invariants with the previous example, for each spacetime  $M$ , we get a cochain complex

$$\mathcal{A}_{\text{hinv}}(M) := \left( \prod_{S_0} \mathcal{A}(S_0) \xrightarrow{d_0} \prod_{S_0 \xleftarrow{h_0} S_1} \mathcal{A}(S_0) \xrightarrow{d_1} \prod_{S_0 \xleftarrow{h_0} S_1 \xleftarrow{h_1} S_2} \mathcal{A}(S_0) \xrightarrow{d_2} \dots \right)$$



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An  $n$ -cochain  $a$  looks like an  $\mathcal{A}$ -valued map

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The differential of the  $n$ -cochain  $a$  is computed by

$$\begin{aligned} d_n a(h_0, \dots, h_n) &= (-1)^0 a(h_0, \dots, h_{n-1}) + \sum_{i=1}^n (-1)^i a(\dots, h_{n-i} h_{n-i+1}, \dots) \\ &\quad + (-1)^{n+1} \mathcal{A}(h_0) a(h_1, \dots, h_n) \end{aligned}$$

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↪ Another instance of **homotopy AQFT**

## Causality vs causality up to coherent homotopies

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Given an AQFT  $\mathcal{A}$ , two causally disjoint embeddings

$$M_1 \xrightarrow{f_1} M \xleftarrow{f_2} M_2$$

induce the **commutator**

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*We need more sophisticated techniques. . .*



# Formalizing homotopy AQFTs

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New structure emerging from examples

**homotopy AQFT** <sup>*informal*</sup> := AQFT *up to coherent homotopies*

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- Actually **stronger** and **more constructive!** (data instead of properties)
- **No free lunch:** Formalizing and constructing homotopy AQFTs requires powerful techniques, e.g. **homotopy theory** and **operads**...

# Formalizing homotopy AQFTs

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New structure emerging from examples

**homotopy AQFT**  $\stackrel{\text{informal}}{:=}$  AQFT up to coherent homotopies

## Remarks:

- Weaker at first sight, however usual strength in cohomology
- Actually **stronger** and **more constructive!** (data instead of properties)
- **No free lunch:** Formalizing and constructing homotopy AQFTs requires powerful techniques, e.g. **homotopy theory** and **operads**...

## Basic idea:

- (1) Construct book-keeping device (**operad**) that captures
  - (a) elementary AQFT operations (push-forward & multiplication)
  - (b) structural identities (functoriality, associativity, causality, ...)

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- (1) Construct book-keeping device (**operad**) that captures
  - (a) elementary AQFT operations (push-forward & multiplication)
  - (b) structural identities (functoriality, associativity, causality, ...)
- (2) Replace identities with coherent homotopies (**homotopy theory**)...

## Summary & outlook

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## Summary & outlook *Thank you for your attention!*

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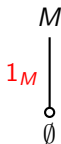
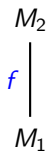
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# The essence of AQFT

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Elementary operations:

push-forward + multiply

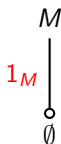
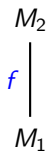


# The essence of AQFT

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Structural identities: **functor** + associative + compatible + *causal*

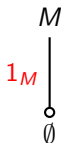
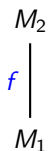
$$\begin{array}{c} M \\ | \\ \mathbb{1} \\ | \\ M \end{array} = \begin{array}{c} M \\ | \\ \text{id}_M \\ | \\ M \end{array}$$

$$\begin{array}{c} M_3 \\ | \\ f \\ | \\ g \\ | \\ M_1 \end{array} M_2 = \begin{array}{c} M_3 \\ | \\ fg \\ | \\ M_1 \end{array}$$

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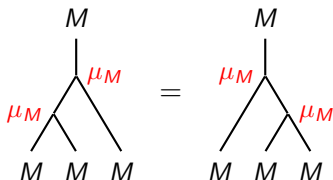
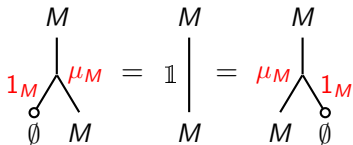
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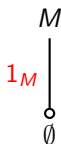
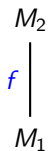


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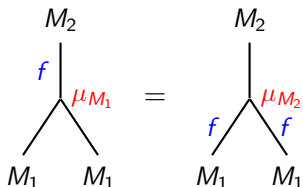
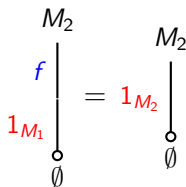
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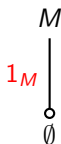
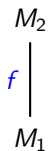


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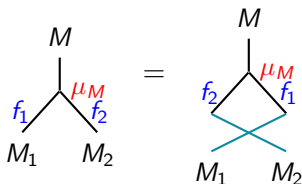
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Structural identities:

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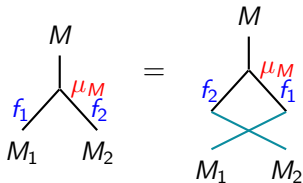


for  $f_1, f_2$  causally disjoint

# A glimpse of homotopy causality

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Instead of the **identity**



for  $f_1, f_2$  causally disjoint

# A glimpse of homotopy causality

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Instead of the **identity**

The diagram shows an equality between two structures. On the left is a tree structure with a root node  $M$  and two child nodes  $M_1$  and  $M_2$ . The edges are labeled  $f_1$  and  $f_2$  in blue, and the root node is labeled  $\mu_M$  in red. On the right is a diamond structure with a root node  $M$  and two child nodes  $M_1$  and  $M_2$ . The edges are labeled  $f_2$  and  $f_1$  in blue, and the root node is labeled  $\mu_M$  in red. The two structures are connected by an equals sign.

for  $f_1, f_2$  causally disjoint

introduce a **1-chain**  $\eta_{f_1, f_2}$  such that

The diagram shows a subtraction between two structures. On the left is a tree structure with a root node  $M$  and two child nodes  $M_1$  and  $M_2$ . The edges are labeled  $f_1$  and  $f_2$  in blue, and the root node is labeled  $\mu_M$  in red. On the right is a diamond structure with a root node  $M$  and two child nodes  $M_1$  and  $M_2$ . The edges are labeled  $f_2$  and  $f_1$  in blue, and the root node is labeled  $\mu_M$  in red. The two structures are connected by a minus sign.

$= \partial \eta_{f_1, f_2}$

for  $f_1, f_2$  causally disjoint

# A glimpse of homotopy causality

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Instead of the **identity**

The diagram shows an equality between two tree-like structures. On the left, a tree with root  $M$  has two children,  $M_1$  and  $M_2$ . The edges are labeled  $f_1$  and  $f_2$  in blue, and the root is labeled  $\mu_M$  in red. On the right, a diamond-shaped tree with root  $M$  has two children,  $M_1$  and  $M_2$ . The edges are labeled  $f_2$  and  $f_1$  in blue, and the root is labeled  $\mu_M$  in red. The two trees are connected by an equals sign.

for  $f_1, f_2$  causally disjoint

introduce a **1-chain**  $\eta_{f_1, f_2}$  such that

The diagram shows an equation where the difference between two tree-like structures is equal to a boundary operator. On the left, a tree with root  $M$  has two children,  $M_1$  and  $M_2$ . The edges are labeled  $f_1$  and  $f_2$  in blue, and the root is labeled  $\mu_M$  in red. On the right, a diamond-shaped tree with root  $M$  has two children,  $M_1$  and  $M_2$ . The edges are labeled  $f_2$  and  $f_1$  in blue, and the root is labeled  $\mu_M$  in red. The two trees are connected by a minus sign. To the right of the minus sign is an equals sign, followed by  $\partial \eta_{f_1, f_2}$  in green.

for  $f_1, f_2$  causally disjoint

Now go higher (both in degree and arity)  $\rightsquigarrow$  **Homotopy theory of operads!**